

SUBMISSION UNDER MPEP 609 D

Application Number	10/650,495
Filing Date	August 28, 2003
First Named Inventor	LiBrizzi et al.
Group Art Unit	
Examiner Name	
Attorney Docket Number	JBP-5012 NP

Page 1 of 1

			1	U.S. Pa	tent Docum	nent	•		
Examiner nitials	Cite No. ¹	Name of Patentee or Applicant of Cited Document	Ī	Kind Code ² Number (if known)			Pages, Columns, Lines, where relevant passages relevant figures appear		
	NO.								
						•			
		FOREIG	N PA	TENT (OCUMEN	ITS			
Examiner	Cite	Name of Patentee or	For	reign Pat	ent Docum	ent	where n	Columns, Lines, elevant passages elevant figures	
nitials	No.1	Applicant of Cited Document	Off	ice ³	Number ⁴	KindCode ⁵	-	appear	Τ°
		-	- 						
		OTHER PRIOR ART -	NON P	ATENT	LITERATUI	L RE DOCUME	NTS		<u></u>
Examiner s nitials*	Cite No. ¹	Include name of the author (in 0 title of the item (book, magazin volume-issue number	CAPIT e, jou	OL LET	TERS), ti	tle of the an	icle (when	late, page(s),	T ²
BUM		U.S. Patent Application No. 10/650,22							7
Bhuj		U.S. Patent Application No. 10/650,57 U.S. Patent Application No. 10/650,39	3 (Atty 8 (Atty	. Docket	JBP-5014)				3
						-			



PTC/SB/08A (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)
Sheet 1 of 4

a vaio OMB condut number.
10/650,495
August 28, 2003
LiBrizzi et al.
JBP-5012 NP

		U.S. Patent Do	cument			
Examiner Initials	Cite No.1	Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
BPM		4,726,915		Verdicchio	2-23-1988	
		4,443,362		Guth et al.	4-17-1984	
7		4,186,113		Verdicchio et al.	1-29-1980	
		4,110,263		Lindemann et al.	8-29-1978	
7		6,433,061		Marchant et al.	8-13-2002	
1		4,380,637		Lindemann et al.	4-19-1983	
		4,215,064		Lindemann et al.	7-29-1980	
		4,617,414		Lukenbach et al.	10-14-1986	
7		4,233,192		Lindemann et al.	11-11-1980	
		4,382,036		Lindemann et al.	5-03-1983	
RPM	*	4,372,869		Lindemann et al.	2-08-1983	

FOREIGN PATENT DOCUMENTS Foreign Patent Document Date of Publication Pages, Columns, Lines, of Cited Document where relevant Name of Patentee or Examiner passages or relevant T⁶ mm-dd-yyyy Applicant of Cited Document KindCode⁵ figures appear Office³ Number⁴ No.1

		, , , , , , , , , , , , , , , , , , , ,
Examiner Signature	Bron P. Mruk	Date Considered 13 05

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the Individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Aboxandria, VA 22313-1450



PTO/SB/08A (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary) Sheet 2 of 4

VAID OMB control number.	
10/650,495	_
August 28, 2003	
LiBrizzi et al.	_
	_
JBP-5012 NP	
	August 28, 2003 LiBrizzi et al.

		U.S. Patent Document						
Examiner Initials	Cite No.1	Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines where relevant passage or relevant figures appe		
Ben		5,876,705		Uchiyama et al.	3-02-1999			
		6,433,061	B1	Marchant et al.	8-13-2002			
)		5,373,044		Adams et al.	12-13-1994			
BPM		4,263,178		Guth	4-21-1981			
		*						
				·				
						-		
			~					

FOREIGN PATENT DOCUMENTS Foreign Patent Document Date of Publication Pages, Columns, Lines, of Cited Document where relevant Name of Patentee or Examiner passages or relevant T⁶ mm-dd-yyyy Applicant of Cited Document figures appear Number⁴ KindCode⁵ Office³ No.1

							<u> </u>	
Examiner	\sim	α	m N	Date				
Signature	10 m	Ψ,	11 nue	Considered	i 1	15	107	
				Considered			_	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



PTO/SB/08A (08-00) Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet 3 of 4

a valid CAMB control number.
10/650,495
August 28, 2003
LiBrizzi et al.
JBP-5012 NP

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner's Initials*	Cite No.1	Include name of the author (in CAPITOL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume issue number(s), publisher, city and/or country where published	T²
BPM		Bemhofer, et al., Toxicology in Vitro, 219-229 (1999),	V
7		Carbopol® Aqua SF-1 Polymer, Brilliant Gold Pearlized 2-In-1 Conditioning Shampoo Formulation, Noveon, Inc. CASF1-001, December 2000	Ý
		Carbopol® Aqua SF-1 Polymer, Pearlized 2-In-1 Conditioning Shampoo formulation, Noveon, Inc., CASF1-002, November 19, 2001	4
		Carbopol® Aqua SF-1 Polymer, Clear Shampoo Formulation, Noveon, Inc., CASF1-003, December 2000	Y
		Carbopol® Aqua SF-1 Polymer, Bath Gel with Vitamin E Moisturizing Beads Formulation, Noveon, Inc., CASF1-004, December 2000	У
		Carbopol® Aqua SF-1 Polymer, Pearlized Mild Body Wash Formulation, Noveon, Inc., CASF1-005, November 19, 2001	Y
		Carbopol® Aqua SF-1 Polymer, Clear Bath Gel (High Betaine) Formulation, Noveon, Inc., CASF1-006, December 2000	Y
		Carbopo ® Aqua SF-1 Polymer, Anti-Dandruff Shampoo Formulation, Noveon, Inc., CASF1-007, December 2000	У
	·	Carbopo® Aqua SF-1 Polymer, Clear Shampoo/Bath Gel with Beads Formulation, Noveon, Inc., CASF1-008, March 29, 2002	Ŋ
		Carbopol® Aqua SF-1 Polymer, Salicylic Acid Shampoo Formulation, Noveon, Inc., CASF1-009, December 2000	·Y
		Carbopol® Aqua SF-1 Polymer, Salicylic Acid Facial Scrub Formulation, Noveon, Inc., CASF1-010, February 25, 2002	У
		Carbopol® Aqua SF-1 Polymer, Temporary Hair Color shampoo (Medium Brown) Formulation, Noveon, Inc., CASF1-011, December 2000	У
		Carbopol® Aqua SF-1 Polymer, Antibacterial Liquid Hand Soap with suspended Beads Formulation, Noveon, Inc., CASF1- 012, December 2000	У
		Carbopol® Aqua SF-1 Polymer, Clear Facial Cleanser Formulation, Noveon, Inc., CASF1-013, December 2000	Ý
		Carbopol® Aqua SF-1 Polymer, Clear Hydrating Body Wash with Susepnded Beads Formulation, Noveon, Inc., CASF1-014, November 19, 2001	ý
		Carbopol® Aqua SF-1 Polymer, Sprayable d-Limonene Waterless Hand Cleaner Formulation, Noveon, Inc., CASF1-015, January 2001	У
		Carbopol® Aqua SF-1 Polymer, Body Lotion Formulation, Noveon, Inc., CASF1-016, December 2000	1
		Carbopol® Aqua SF-1 Polymer, Facial Cream Formulation, Noveon, Inc., CASF1-017, December 2000	٧
		Carbopol® Aqua SF-1 Polymer, Alpha Hydroxy Acid Cream Formulation, Noveon, Inc., CASF1-018, December 2000	_′\
		Carbopol® Aqua SF-1 Polymer, Pearlized 3-In-1 Conditioning Shampoo Formulation, Noveon, Inc., CASF1-019, November 19, 2001	У
		Carbopol® Aqua SF-1 Polymer, Clear Shampoo with Microcapsules Formulation, Noveon, Inc., CASF1-020, December 2000	У
		Carbopol® Aqua SF-1 Polymer, Clear Baby Shampoo Formulation, Noveon, Inc., CASF1-021, December 2000	Y
		Carbopol® Aqua SF-1 Polymer, Economy Pearlized 3-in-1 Conditioning Shampoo Formulation, Noveon, Inc., CASF1-022, January 2001	, Y
		Clear Conditioning Shampoo Using Ultrasil™ Q-Plus and Ultrasil™ A-23 Silicones, Noveon, Inc., SIL-019, December 12, 2002	γ
		Clear Bath Gel (High Betaine) Using Carbopol® Aqua SF-1 Polymer, Noveon, Inc., CASF1-024EU, February 10, 2003	
		Clear Shampoo/Bath Gel with Beads Using Carbopol® Aqua SF-1 Polymer, Noveon, Inc., CASF1-025EU, February 26, 2003	γ
BPM		Brilliant Gold Pearlized 2-in-1 Conditioning Shampoo Using Carbopol® Aqua SF-1 Polymer, Noveon, Inc., CASF1-026EU, February 26, 2003	Y

			i	
Examiner	α α	Date	~ T	
	Dring P. Minux		∢1	λς.
Signature	C1000 1, 111100	Considered	<u> </u>	03
-			_	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231.

DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandra, VA 22313-1450.

¹ Unique citation designation number. 2 Applicant is to place a check mark here if English language Translation is attached.



PTO/SB/08A (08-00) Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary) Sheet 4 of 4

a collection of information unless it displays a	I Valid OMB Control number.
Application Number	10/650,495
Filing Date	August 28, 2003
First Named Inventor	LiBrizzi et al.
Group Art Unit	
Examiner Name	
Attorney Docket Number	JBP-5012 NP

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner's Initials*					
BUM		Ethnic Hair Moisturizing Cream With Ultracas C-20, Noveon, Inc., SIL-0002, June 28, 2001	V		
7		Antibacterial Hand Wash with Molsturizers Using Ultrasil™ DW-18 Silicone, Noveon, Inc., SIL-0005, March 1, 2002	1 V		
7		Mild Conditioning Cream Shampoo, Noveon, Inc., SIL-0017, December 12, 2002	V		
		Moisturizing Shampoo for Ethnic Hair, Noveon, Inc., SIL-0020, February 26, 2003	7		
		Aveeno® Stress Relief Foarning Bath Formulation, Johnson & Johnson Consumer Companies, Inc., 2003	TY		
		Aveeno® Daily Molsturizing Foaming Bath Formulation, Johnson & Johnson Consumer Companies, Inc., 2003	1 4		
		Aveeno® Positively Radiant ™ Cleanser Formulation, Johnson & Johnson Consumer Companies, Inc., 2003	1 7		
		Johnson's® Softwash™ Baby Shampoo Formulation, Johnson & Johnson Consumer Companies, Inc., 2002	Y		
		Johnson's® Softwash™ Baby Wash Formulation, Johnson & Johnson Consumer Companies, Inc., 2002	Y		
		Johnson's® Soothing Skin Baby Bath Formulation, Johnson & Johnson Consumer Companies, Inc., 2001	ТУ		
Bim		Invittox Protocol No. 86, "The Trans-Epithelial Permeability (TEP) Assay," (May 1994)	TY		
			<u> </u>		
	ļ				
			 		
	<u> </u>				
	-		ļ		
		•			
	· · · · · · ·		-		
			-		
			+		
	<u> </u>		+		
			+-		
	<u> </u>		 		

Examiner Signature	Brian	1	Mrute	Date Considered	3	65
					1	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231.

DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

¹ Unique citation designation number. 2 Applicant is to place a check mark here if English language Translation is attached.